

Operational Data

Table 1 Takeovers activities

	Quarter ended 31.12.2024	Nine months ended 31.12.2024	Nine months ended 31.12.2023	YoY change (%)
Codes on Takeovers and Mergers and Share Buy-backs				
General and partial offers under Code on Takeovers and Mergers	11	31	25	24.0
Privatisations	12	24	13	84.6
Whitewash waiver applications	7	13	18	-27.8
Other applications under Code on Takeovers and Mergers ¹	100	227	192	18.2
Off-market and general offer share buy-backs	2	5	5	0.0
Other applications under Code on Share Buy-backs ¹	0	0	0	0.0
Total	132	300	253	18.6
Executive Statements				
Sanctions imposed with parties' agreement ²	1	1	0	N/A

Operational Data

Table 2 Breaches noted during on-site inspections

	Quarter ended 31.12.2024	Nine months ended 31.12.2024	Nine months ended 31.12.2023	YoY change (%)

Table 3 Hong Kong-domiciled authorised funds

a) Number of funds by type	As at 31.12.2024	As at 31.3.2024	Change (%)	As at 31.12.2023	YoY change (%)
Bond	169	165	2.4	162	4.3
Equity	203	203	0.0	204	-0.5
Mixed	111	111	0.0	113	-1.8
Money market	77	67	14.9	62	24.2

Operational Data

Table 4 Non-Hong Kong-domiciled authorised funds

a) Number of funds by origin	As at 31.12.2024	As at 31.3.2024	Change (%)	As at 31.12.2023	YoY change (%)
Luxembourg	1,083	1,066	1.6	1,070	1.2
Ireland	252	258	-2.3	252	0.0
United Kingdom	20	26	-23.1	29	-31.0
Mainland China	45	46	-2.2	46	-2.2
Bermuda	1	1	0.0	1	0.0
Cayman Islands	23	23	0.0	20	15.0
Others	12	11	8.3	10	20.0

c) Number of funds by type	As at 31.12.2024	As at 31.3.2024	Change (%)	As at 31.12.2023	YoY change (%)
Bond	370	358	3.4	357	3.6
Equity	769	775	-0.8	779	-1.3
Mixed	163	163	0.0	159	2.5
Money market	12	12	0.0	12	0.0
Feeder funds	3	3	0.0	3	0.0
Index ¹	24	25	-4.0	25	-4.0
Hedge	1	1	0.0	1	0.0
Commodity ²	1	1	0.0	1	0.0
Sub-total	1,343	1,338	0.4	1,337	0.4
Umbrella structures	86	87	-1.1	86	0.0
Total	1,429	1,425	0.3	1,423	0.4

d) Assets under management by type	Total NAV (US\$ million) as at 31.12.2024	Total NAV (US\$ million) as at 31.3.2024	Change (%)	Total NAV (US\$ million) as at 31.12.2023	YoY change (%)
Bond	487,038	453,231	7.5	439,127	10.9
Equity	786,219	823,726	-4.6	803,383	-2.1
Mixed	166,882	166,913	0.0	156,885	6.4
Money market	14,656	11,777	24.4	11,633	26.0
Feeder funds ³	0	0	0	0	0
Index ¹	71,509	62,796	13.9	56,521	26.5
Hedge	94	116	-19.0	105	-10.5
Commodity ²	73,215	59,082	23.9	58,274	25.6
Total⁴	1,599,614	1,577,641	1.4	1,525,928	4.8

1

Operational Data

Table 5 Complaints against intermediaries and market activities

	Quarter ended			