

NOTICE OF AUTHORIZATION TO PROVIDE AUTOMATED TRADING SERVICES

Pursuant to section 95(2) of the Securities and Futures Ordinance (**SFO**), the Securities and Futures Commission (**Commission**) hereby authorizes Chicago Mercantile Exchange Inc. (**CME**) to provide automated trading services (**ATS**) in Hong Kong via the CME Clearing



4.1. CME's company structure, any substantial shareholders and, where a substantial shareholder is a corporation, the directors and substantial shareholders of that corporation;

4.2. the CME Clearing system (including changes in hardware, software, and other technology);

4.3. contractual documentation, if these result, or may result, in direct or indirect changes to the contractual responsibilities of CME's Hong Kong clearing

4.4. criteria for admitting persons as Hong Kong clearing members of CME, or for revoking such membership;

4.5. markets and products that may be cleared via the CME Clearing system by CME's Hong Kong clearing members; and

4.6. clearing risk management framework, policies and procedures that have

