

NOTICE OF AUTHORIZATION TO PROVIDE AUTOMATED TRADING SERVICES

ISSUED TO CHINA INNOVATION MARKET SERVICE COMPANY LIMITED 《中國創盈市場服務有限公司》

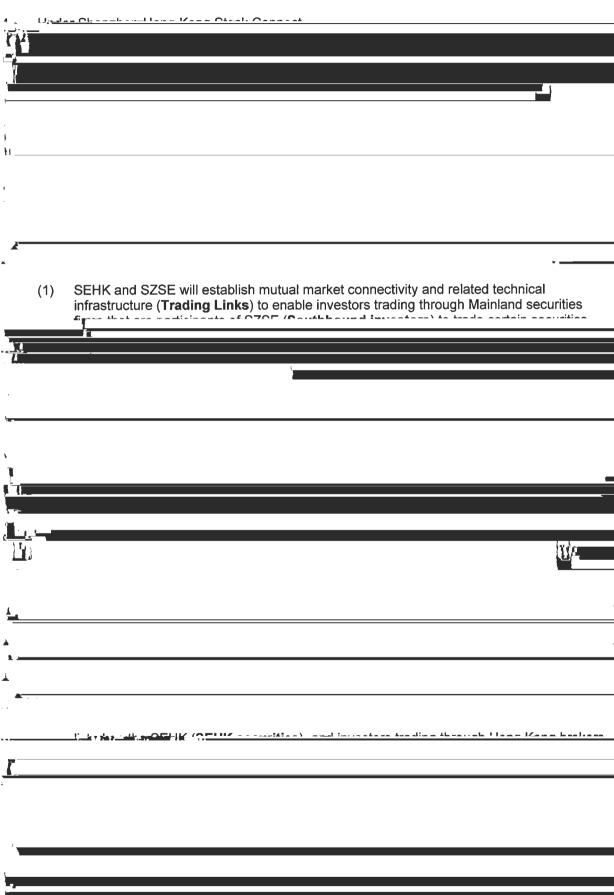
UNDER SECTION 95 OF THE SECURITIES AND FUTURES ORDINANCE

INTRODUCTION

Background

- On 16 August 2016. the China Securities Regulatory Commission (CSRC) and the Securities and Futures Commission (SFC) issued a joint announcement noting that they had approved, in principle, the establishment of mutual access between Shenzhen and Hong Kong stock markets (Shenzhen-Hong Kong Stock Connect 《深港股票市場交易互聯互通機制》), which would operate among the following entities
 - (1) Shenzhen Stock Exchange 《深圳證券交易所》 (SZSE), which is regulated by the CSRC under the Measures for the Administration of Stock Exchanges 《證券交易所管理辦法》,
- (2) The Stock Exchange of Hong Kong Limited 《香港聯合交易所有限公司》(SEHK), which is the stock in the Property of the Pr







CONDITIONS

General

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