



NOTICE OF AUTHORIZATION TO PROVIDE AUTOMATED TRADING SERVICES

ISSUED TO CHINA INNOVATION MARKET SERVICE COMPANY LIMITED

《中國創盈市場服務有限公司》

UNDER SECTION 95 OF THE SECURITIES AND FUTURES ORDINANCE

INTRODUCTION

Background

1 On 16 August 2016, the China Securities Regulatory Commission (**CSRC**) and the Securities and Futures Commission (**SFC**) issued a joint announcement noting that they had approved, in principle, the establishment of mutual access between Shenzhen and Hong Kong stock markets (**Shenzhen-Hong Kong Stock Connect** 《深港股票市場交易互聯互通機制》), which would operate among the following entities –

- (1) Shenzhen Stock Exchange 《深圳證券交易所》 (**SZSE**), which is regulated by the CSRC under the Measures for the Administration of Stock Exchanges 《證券交易所管理辦法》,
- (2) The Stock Exchange of Hong Kong Limited 《香港聯合交易所有限公司》 (**SEHK**), which is a recognized exchange operator under the Securities and Futures Ordinance (Cap 571)

SFO),

- (3) China Securities Depository and Clearing Corporation Limited 《中國證券登記結算有限公司》



[REDACTED]

[REDACTED]

- [illegible]

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CONDITIONS

General

1. The ATC OZOF subsidiary must conduct its business, operations and affairs in a

[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

