



NOTICE OF AUTHORIZATION TO PROVIDE AUTOMATED TRADING SERVICES

Pursuant to section 95(2) of the Securities and Futures Ordinance (**SFO**), the Securities and Futures Commission (**Commission**) hereby authorizes Turquoise Global Holdings Limited (**Turquoise**) to provide automated trading services (**ATS**) in Hong Kong via the MillenniumIT (**MIT**) trading system subject to the following conditions—

1. Turquoise may only provide ATS in Hong Kong to those of its members that are

[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]



to the FCA, to the Authority and the Commission for the exchange of

[REDACTED]

[REDACTED]

information and investigatory assistance, signed on 28 October 1992; as well as

an understanding of understanding between the Financial Conduct Authority

[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]



writing), and each order book, traded by that member through the MIT trading system; and

- 7.2. the aggregate volume of trades, in respect of each product or product class (as agreed by the Commission in writing), and each order book, conducted during that month through the MIT trading system.

Such statistics must be provided within two weeks after the end of the relevant

[REDACTED]

[REDACTED]

[REDACTED]

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[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

return is required if no trade was conducted in the relevant month.

8. Turquoise must provide **monthly** reports of the identity and location of its members in Hong Kong as at the end of the relevant month, and such reports must be provided to the Commission within two weeks after the end of the

[REDACTED]

[REDACTED]

[REDACTED]