



- (5) Bond Connect Company Limited 《債券通有限公司》(BCCL), a company incorporated in Hong Kong and formed by CFETS and HKEX, provides various services to support and facilitate the operation of Bond Connect, including –

(a) conducting marketing, promotional and investor education activities relating to

Bond Connect,

(b) identifying suitable platform operators to provide services as Access Platforms

as well as negotiating and concluding the terms of their respective services

(2) providing such other support and assistance as may be required in relation to

[REDACTED]

operation of Swap Connect and its use by eligible overseas investors, including any administrative, monitoring or investigation support and assistance.

CIBM Direct

10. BOCI facilitates the operation of CIBM Direct by providing support and assistance to

[REDACTED]

[REDACTED]

- (3) any failures or defects arising in connection with the operation of Bond Connect, Swap Connect and CIBM Direct, and remedial steps taken, and

- (4) any breach, or potential breach, of any of the conditions of BCCL's authorization to provide ATS.

17 BCCL must provide to the SEC monthly reports relating to its business, including, but not limited to, the following:

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